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<b>Report To:</b>	<b>Audit Committee</b>	<b>Date</b>	<b>23 February 2016</b>
<b>Report By:</b>	<b>Corporate Director Environment, Regeneration and Resources</b>	<b>Report No:</b>	<b>AC/03/16/AF/APr</b>
<b>Contact Officer:</b>	<b>Andi Priestman</b>	<b>Contact No:</b>	<b>01475 712251</b>
<b>Subject:</b>	<b>Risk Management Progress Report</b>		

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## 1.0 PURPOSE

- 1.1 The purpose of this report is to update members on progress made with regard to risk management since the meeting of the Audit Committee on 25 August 2015. The report aims to support members in discharging their responsibility for reviewing the effectiveness of risk management across the organisation.
- 1.2 Progress on risk management requires to be monitored because of its importance to the Council's Corporate Governance Framework.

## 2.0 SUMMARY

- 2.1 Since the last Audit Committee report, the following key tasks have been undertaken:-
  - Service Risk Registers have been reviewed as part of the annual governance review process. Directorate Heat maps are now being developed to inform the Corporate Risk Register which will be finalised by 30 April and reported to the relevant meeting of the Audit Committee thereafter; and
  - Business continuity plans and business impact analysis documentation have been reviewed and updated at 31 December 2015 for services across the Council. A series of desktop exercises were held during the year which assisted in the review and update of documentation.
- 2.2 Work is ongoing to align the Council's procedures with best practice guidance on risk management processes and practices and a series of Business Continuity Planning desktop exercises have been organised for Q2 2016.

## 3.0 RECOMMENDATIONS

- 3.1 It is recommended that members agree to note the progress on risk management in the period since the Audit Committee meeting of 25 August 2015.

**Aubrey Fawcett**  
**Corporate Director Environment, Regeneration and Resources**

## **4.0 BACKGROUND**

- 4.1 There have been increasing pressures for public sector bodies to adopt formalised approaches to risk management.
- 4.2 In its Code of Practice, Audit Scotland makes it clear that the audited bodies are responsible for developing systems of internal control, including risk management, and for conducting annual reviews of the effectiveness of the system of internal control.
- 4.3 The Council has developed a Risk Management Strategy which will deliver a consistent, effective framework and approach for managing risks across the organisation at all levels and support the achievement of the corporate outcomes.

## **5.0 CURRENT STATUS**

- 5.1 Since the last Audit Committee report, the following key tasks have been undertaken:-
- Service Risk Registers have been reviewed as part of the annual governance review process. Directorate Heatmaps are now being developed to inform the Corporate Risk Register which will be finalised by 30 April and reported to the relevant audit committee thereafter; and
  - Business continuity plans and business impact analysis documentation have been reviewed and updated at 31 December 2015 for services across the Council. A series of desktop exercises were held during the year which assisted in the review and update of documentation.
- 5.2 Work is ongoing to align the Council's procedures with best practice guidance on risk management processes and practices and a series of Business Continuity Planning desktop exercises have been organised for Q2 2016.

## **6.0 IMPLICATIONS**

### **6.1 Finance**

There are no direct financial implications arising from this report.

### **6.2 Legal**

There are no direct legal implications arising from this report.

### **6.3 Human Resources**

There are no direct HR implications arising from this report.

### **6.4 Equalities**

There are no direct equalities implications arising from this report.

### **6.5 Repopulation**

There are no direct repopulation implications arising from this report.

## **7.0 CONSULTATIONS**

- 7.1 Relevant officers have been consulted during the review and update of risk registers.

## **8.0 LIST OF BACKGROUND PAPERS**

- 8.1 Corporate, Directorate and Service Risk Registers. Copies available from Andi Priestman, Chief Internal Auditor.